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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

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Complete if Known

Application Number	10/678720
Filing Date	October 03, 2003
First Named Inventor	Robert C. Lam
Art Unit	1794
Examiner Name	Jennifer A. Steele
Attorney Docket Number	DKT0076 (0309.4085.901)

U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
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		CN1500850 (A)	06-02-2004	BorgWarner Inc.		
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		Number-Kind Code ² (if known)			
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Complete if Known

Application Number	10/678720
Filing Date	October 03, 2003
First Named Inventor	Robert C. Lam
Art Unit	1794
Examiner Name	Jennifer A. Steele
Attorney Docket Number	DKT0076 (0309.4085.901)

U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
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		Country Code ³ Number ⁴ Kind Code ⁵ (if known)				
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		EP1521001 A2	04-06-2005	BorgWarner Inc.		
		EP1521007 A2	04-06-2005	BorgWarner Inc.		

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First Named Inventor	Robert C. Lam
Art Unit	1794
Examiner Name	Jennifer A. Steele
Attorney Docket Number	DKT0076 (0309.4085.901)

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		US- 4995500	02-26-1991	Payvar	
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Filing Date	October 03, 2003
First Named Inventor	Robert C. Lam
Art Unit	1794
Examiner Name	Jennifer A. Steele
Attorney Docket Number	DKT0076 (0309.4085.901)

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Filing Date	October 03, 2003
First Named Inventor	Robert C. Lam
Art Unit	1794
Examiner Name	Jennifer A. Steele
Attorney Docket Number	DKT0076 (0309.4085.901)

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		US- 5998311	12-07-1999	Nels	
		US- 6000510	12-14-1999	Kirkwood et al.	
		US- 6001750	12-14-1999	Lam	
		US- 6019205	02-01-2000	Willworth	
		US-			
		US- 6042935	03-28-2000	Krenkel et al.	
		US- 6060536	05-09-2000	Matsumoto et al.	
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		US- 6074950	06-13-2000	Wei	
		US- 6121168	09-19-2000	Irifune et al.	
		US- 6123829	09-26-2000	Zommerman et al.	
		US- 6130176	10-10-2000	Lam	
		US- 6132877	10-17-2000	Winckler et al.	
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		US- 6163636	12-09-2000	Stentz et al.	
		US- 6182804 B1	02-06-2001	Lam	
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		JP6299434 A	10-25-1994	Staubli Sa Ets		
		JP63066230 A	03-24-1988	Aisin Chem		
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		JP176667 A	07-08-1997	Nitto Denko Corp		
		JP9324824 A	12-16-1997	Jatco Corp		

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STATEMENT BY APPLICANT**

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Complete if Known

Application Number	10/678720
Filing Date	October 03, 2003
First Named Inventor	Robert C. Lam
Art Unit	1794
Examiner Name	Jennifer A. Steele
Attorney Docket Number	DKT0076 (0309.4085.901)

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U. S. PATENT DOCUMENTS

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		Number-Kind Code ² (if known)			
		US- 6265066 B1	07-24-2001	Suzuki et al.	
		US- 6284815 B1	09-04-2001	Sasahara et al.	
		US- 6304040 B1	09-18-2001	Moriwaki et al.	
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		US- 6383605 B1	05-07-2002	Ejiri	
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		US- 6638883 B2	10-28-2003	Gaffney et al.	

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		JP5247447 A	09-24-1993	Minnesota Mining, Gen Mot		
		WO2005/102962 A1	11-03-2005	Honeywell International		
		WO2006/101799 A2	09-28-2006	Honeywell International		
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		WO2007/055951 A1	05-18-2007	BorgWarner Inc.		
		WO95/26473	10-05-1995	Valeo		

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Sheet 15

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Application Number	10/678720
Filing Date	October 03, 2003
First Named Inventor	Robert C. Lam
Art Unit	1794
Examiner Name	Jennifer A. Steele
Attorney Docket Number	DKT0076 (0309.4085.901)

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		US- 6652363 B2	11-25-2003	Kramer et al.	
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		US- 7160913 B2	01-09-2007	Schneider	
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		US- 7204000 B2	11-13-2007	Lam et al.	
		US- 7332240 B2	02-19-2008	O'Hara et al.	
		US- 7429418 B2	09-30-2008	Lam et al.	
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		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)				
		WO96/10701 A1	04-11-1996	Nels, Terry E.		
		WO98/09093 A1	03-05-1998	BorgWarner Inc.		
		WO99/35415 A1	07-15-1999	Nels, Terry E.		

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		Filing Date	October 03, 2003
		First Named Inventor	Robert C. Lam
		Art Unit	1794
		Examiner Name	Jennifer A. Steele
Sheet 16	of 20	Attorney Docket Number DKT0076 (0309.4085.901)	

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		Application No. EP01309199 Search Report, completed 15 February 2002	
		Application No. EP02257364 Search Report, completed 3 December 2003	
		Application No. EP03255504 Search Report, completed 4 December 2003	
		Application No. EP03256313 Search Report, completed 5 March 2009	
		Application No. EP04253746 Search Report, completed 3 May 2005	
		Application No. EP04255582 Search Report, completed 15 June 2007	
		Application No. EP04255993 Search Report, completed 5 June 2009	
		Application No. EP05254284 Search Report, completed 2 November 2005	
		Application No. EP05253694 Search Report, completed 18 October 2005	
		Application No. EP05254474 Search Report, completed 21 October 2005	

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		Application No. EP08012805 Search Report, completed 14 October 2008	
		Application No. EP96306350 Search Report, completed 4 December 1996	
		Application No. PCT/US06/15769 International Search Report and Written Opinion, International Filing Date 25 April 2006	
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		Application No. PCT/US07/07788 International Search Report and Written Opinion, International Filing Date 28 March 2007	
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Sheet 18 of 20	Attorney Docket Number		DKT0076 (0309.4085.901)

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		HETTINGER, JR. et al., Carboflex and Aerocarb-Ashland's New Low Cost Carbon Fiber and Carbonizing Products for Future Break Applications, 860767, Copyright 1986, pp. 1-11	
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		USSN 12/185,236; FRICTION MATERIAL WITH SILICON, Feng Dong, Filed 08-04-2008	
		USSN 12/084,514; CARBON FRICTION MATERIALS, Feng Dong et al., Filed 05-01-2008	
		USSN 10/871,786; FULLY FIBROUS STRUCTURE FRICTION MATERIAL, Robert C. Lam, Filed 06-18-2004	

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		First Named Inventor	Robert C. Lam		
		Art Unit	1794		
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Sheet	20	of	20	Attorney Docket Number	DKT0076 (0309.4085.901)

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		USSN 10/678,720, FRICTION MATERIAL CONTAINING PARTIALLY CARBONIZED CARBON FIBERS, Robert C. Lam, Filed 10-03-2003	
		USSN 12/400,904, FRICTIONAL DEVICE COMPRISING AT LEAST ONE FRICTION PLATE, Eckart Gold, Filed 03-10-2009	
		USSN 12/492,261, FRICTION MATERIALS, Herschel L. McCord, Filed 06-26-2009	
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		USSN 10/916,328, HIGH COEFFICIENT WOVEN FRICTION MATERIAL, Robert C. Lam, Filed 08-11-2004	
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Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.

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